



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Group Art Unit 1624

In re

Patent Application of

David Edwin Thurston, et al.

Serial No. 10/021,213

Filed: December 12, 2001

Confirmation No. 1106

Examiner: Unknown

"PYRROLOBENZODIAZEPINES"

I, Leslie Rector, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on the date of my signature.

Leslie Rector  
Signature

September 11, 2002  
Date of Signature

**CLAIM FOR PRIORITY**

Commissioner for Patents  
Washington, DC 20231

Sir:

The Applicants in the above-identified application claim the benefit of priority under 35 U.S.C. §119 of United Kingdom Application No. 9818733.9, filed on August 27, 1998 and United Kingdom Application No. 9901929.1, filed on January 28, 1999. Certified copies of the priority documents were submitted in the corresponding United States Patent Application Serial No. 09/763,767, filed on February 26, 2001, which is a filing under 35 U.S.C. 371 of International Application No. PCT/GB99/02838, filed on August 27, 1999.

Respectfully submitted,

Grady J. Frenchick  
Grady J. Frenchick  
Reg. No. 29,018  
9/11/02

Docket No.: 065435-9010  
Michael Best & Friedrich LLP  
One South Pinckney Street  
P. O. Box 1806  
Madison, WI 53701-1806  
(608) 257-3501

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,213	12/12/2001	David Edwin Thurston	065435-9010

CONFIRMATION NO. 1106

23510  
MICHAEL BEST & FRIEDRICH, LLP  
ONE SOUTH PINCKNEY STREET  
P O BOX 1806  
MADISON, WI 53701

## FORMALITIES LETTER



\*OC00000007493205\*

Date Mailed: 02/19/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 21 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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A copy of this notice MUST be returned with the reply.

*Amal*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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100-9-30 0420  
Receipt # 3

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Group Art Unit 1624

In re

Patent Application of

David Edwin Thurston, et al.

Serial No. 10/021,213

Filed: December 12, 2001

Confirmation No. 1106

Examiner: Unknown

"PYRROLOBENZODIAZEPINES"

I, Leslie Rector, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Office of Initial Patent Examination's Customer Service Center, Washington, DC 20231, on the date of my signature.

Leslie Rector  
Signature

September 11, 2002  
Date of Signature

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, DC 20231

Sir:

The Applicants request that the filing receipt be corrected, in that the filing receipt contains an error. Applicants enclose a copy of the filing receipt with the change noted in red. Please issue a corrected filing receipt reflecting the change shown below:

Title: Pyrrolobenzodiazepines

Respectfully submitted,

Grady J. Frenchick  
Grady J. Frenchick  
Reg. No. 29,018  
9/11/02

Attorney Docket No.: 065435-9010

Michael Best & Friedrich LLP  
One South Pinckney Street  
P. O. Box 1806  
Madison, WI 53701-1806  
(608) 257-3501

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Page 1 of 2

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COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/021,213	12/12/2001	1624	370	065435-9010	32	9	1

23510  
MICHAEL BEST & FRIEDRICH, LLP  
ONE SOUTH PINCKNEY STREET  
P O BOX 1806  
MADISON, WI 53701

Michael Best & Friedrich  
RECEIVED

FEB 25 2002

CONFIRMATION NO. 1106

FILING RECEIPT



\*OC000000007493204\*

DOCKETING  
MADISON, WI

Date Mailed: 02/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

*doctored for 4/19/02*

Applicant(s)

David Edwin Thurston, Nottingham, UNITED KINGDOM;  
Philip Wilson Howard, Nottingham, UNITED KINGDOM;

Assignment For Published Patent Application

Spirogen Limited, Isle of Wight, UNITED KINGDOM;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/763,767 02/26/2001  
WHICH IS A 371 OF PCT/GB99/02838 08/27/1999

Foreign Applications

UNITED KINGDOM 9818733.9 08/27/1998  
UNITED KINGDOM 9901929.1 01/28/1999

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: To Be Determined - pending completion of Omitted Items

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

~~Pyrrrolbenzodiazepines~~ Pyrrrolbenzodiazepines

**Preliminary Class**

540

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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